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January 4, 2016

VIA ECF

Hon. Richard J. Sullivan United States District Judge Thurgood Marshall United States District Court 40 Foley Square New York, NY 10007

Re: Steven Puente v. Cantor Fitzgerald Securities, Inc., et al.

Case Number: 15-cv-05196-RJS

Your Honor:

On behalf of the Defendants in the above-referenced action, I write to report that the parties have agreed to the terms of a settlement. I attach the jointly-proposed settlement agreement for Your Honor's review and approval. Thank you for your consideration.

Respectfully submitted,

David A. Paul

Assistant General Counsel

cc: Bradford Conover, Esq.